



Associated Broker

Authorized Financial Services Provider 34046

Disclosure as Required by the Financial Advisory & Intermediary Services Act

Hollins Insurance Brokers CC/TA Associated Brokers	Physical Address: Postal Address: Contact: Cell Phone: Email:	0B Bishops Court, Hillcrest, Durban, 3610 P O BOX 146 KLOOF 3610 087 235 0000 082 259 9268 nicholas@associatedbroker.com
---	---	---

Nicholas McDonald is an authorized representative of Hollins Insurance Brokers and is registered as a **REPRESENTATIVE** and is licensed to provide advice and intermediary services under various subcategories of Category one on behalf of Hollins Insurance Brokers. A copy of the license, which contains details of the financial services authorized to provide, as well as any exemptions, is available for inspection or on request. Nicholas McDonald is a representative operating under supervision (short term) from website www.associatedbroker.com

Legal Status and Contractual Relationship

The intermediary is an independent broker who is paid for his/her services in the form of a fee and commission. He does not hold more than 10% of the shares issued by any insurers, and did not receive more than 30% of his total commission and remuneration from any one life insurer during the previous calendar year.

Qualifications and Experience

Nicholas has 4 years of experience in providing advice on the following topic(s):
Death / Disability / Dread Disease / Retirement Planning / Investment Planning / Estate Planning / Medical Aids / Short Term Insurance - personal and commercial lines / Pension and Provident funds

The intermediary has the following qualifications:

- NQF level 5 equivalent BCom Bus (in progress)
- FSB Representative Qualification (RE5)

Professional Indemnity Insurance

The intermediary has professional indemnity insurance with Etana Insurance Company. A copy can be provided on request and covers both professional indemnity as well as Directors and Officers liability

Compliance & Complaints

Compliance Officer Daniel Opperman
National Compliance Company e-mail info@nationalcompliance.co.za
Share call 0860104194 Ph 0329462921/2949 Fax 0329462225 <http://www.nationalcompliance.co.za>
Practice number 13074 Brabham House, Garlick Crescent, Crowthorne Corner, Ballito A copy of our complaints handling process is available on request.

Mandate & Product Accreditation

The intermediary is authorized and accredited to give advice on all the products as listed below:

- Liberty/Liberty Health
- Zurich/ABSA/Renasa/Regent/Gaurdrisk insurance companies
- Santam / Mutual and federal/ U MS /CEU/Cross county/various underwriting agencies-list will be provided on request
- Momentum/Allan Gray
- Discovery Life / Discovery Health
- Sanlam/Resolution Health/Brightrock/Stratum

Professional bodies/Affiliation FIA membership number 34000521

Particulars of Long -term Insurance Ombudsman

PO Box 74571, Lynwood Ridge, 0040, Tel: (012) 4709080 Fax: (012)3483447
Baobab house, Eastwood office park, Lynwood Road, PRETORIA

Particulars of Short -term Insurance Ombudsman

PO Box 74571, Lynwood Ridge, 0040, Tel: (012) 4709080 Fax: (012)3483447
Baobab house, Eastwood office park, Lynwood Road, PRETORIA

Particulars of Registrar of Long-term Insurance

Financial Sector Conduct Authority (FSCA), PO Box 35655, MENLO PARK, 0102, Tel: (012) 428-8000 Fax: (012) 347-0221

The FSP abides by **Treating Customers Fairly (TCF)** and the **Protection of Personal Information (POPI)**. A copy of the TCF and POPI Policy is available upon request.

Client Signature

Date